



## John P. Stanley

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John's practice focuses on corporate and securities law with a focus on clients in the investment management industry.

John advises investment fund manager, dealer and investment adviser clients on legal issues concerning the formation, distribution and administration of different investment products, including retail mutual funds, exchange-traded funds, pooled funds and private equity funds. John also has expertise in advising clients in the investment management industry on environmental, social and governance (ESG) issues.

Additionally, John advises clients on commercial law matters, including commercial contracts and corporate governance.

## Experience

- Preparing offering documents and continuous disclosure documents relating to investment funds
- Advising on issues related to registration (and exemptions from registration) as an investment fund manager, dealer or adviser in Canada
- Obtaining exemptive relief from various securities regulatory requirements
- Advising on compliance with corporate and securities laws, and self-regulatory organization rules
- Assisting with merger and acquisition transactions

## Insights & Events

- Author, "Common ground: new report provides standard definitions for key responsible investment terms", BLG Article, November 2023
- Pearls of wisdom: Investment management regulatory trends and preparing for the new SRO, BLG Video, January 2023
- Author, "Understanding the CSA's guidance on ESG disclosures by investment funds", BLG Article, April 2022
- Author, "Canadian securities regulators conduct a "green" sweep of ESG products and practices", BLG Article, April 2021
- Author, "Self-regulation in Canada – time for a change in changing times?", BLG Article, September 2020
- Author, "ESG disclosure requirements and implications for Canadian reporting issuers", BLG Article, March 2020

## Beyond Our Walls

### Professional Involvement

- Member, Ontario Bar Association
- Member, Canadian Bar Association
- Member, Law Society of Ontario
- Member, Public Affairs Committee, Investment Funds Institute of Canada

## Bar Admission & Education

- Ontario, 2019
- JD, University of Windsor, 2018
- BA Hons., Queen's University, 2014

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