



## Sarah Gardiner

### Partner

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[Private Funds, Hedge Funds & Alternative Investments](#)  
[Registrant Regulation & Compliance](#)  
[Investment Management](#)  
[Mutual Funds](#)  
[Private Equity](#)  
[ETFs, Structured Products & Closed-End Funds](#)

Sarah specializes in investment funds, investment management, alternative asset management, securities regulation and compliance.

She advises clients on the structuring, operation and distribution of onshore and offshore investment funds, real estate funds and private equity funds. Sarah offers counsel on compliance and securities regulatory issues for all types of securities registrants.

Sarah works with domestic and foreign fund managers to prepare applications and filings under provincial securities legislation. She also advises clients on anti-money laundering compliance.

## Experience

- Sarah was seconded to the Ontario Securities Commission as legal counsel in the Registrant Regulation Branch.

## Insights & Events

- Author, "Exemptions facilitate transition to SEDAR+", BLG Article, June 2023
- Author, "CSA publishes rules for new SEDAR+", BLG Article, March 2023
- Author, "SEDAR+ is Coming – Will You be Ready?", BLG Article, February 2023
- Pearls of wisdom: Investment management regulatory trends and preparing for the new SRO, BLG Video, January 2023
- Author, "Surging to 2021: BLG's 2020 Vision of the Client-Focused Reforms", BLG Article, January 2020
- Author, "ETF Developments South of the Border — Implications for Canadian ETF Managers", BLG Article, November 2019
- Author, "Exempt Distribution Reporting Requirements for Investment Funds: What's New this Year?", BLG Article, October 2019
- Author, "New Streamlined Report of Exempt Distribution: January 30, 2019 Deadline For Funds", BLG Article, November 2018
- Co-Author, "CSA's Proposed Harmonized Report of Exempt Distribution," BLG's Securities and Capital Markets Bulletin, October 2015
- Contributing Author, "How to Start and Grow a Successful Hedge Fund in Canada," ISI Publications, 2014

## Beyond Our Walls

### Professional Involvement

- Member, Private Funds Committee, National Society of Compliance Professionals
- Co-Chair, Legal, Finance & Compliance Committee, Alternative Investment Management Association (Canada)
- Member, 100 Women in Finance
- Member, Canadian Women in Private Equity
- Member, Canadian Bar Association
- Past member, Fund Categorization Committee, Investment Funds Institute of Canada

### Community Involvement

- Past director, Canadian Children's Opera Company
- Past volunteer, BLG Reads to Kids

## Awards & Recognitions

- Recognized in the 2024 edition of *Best Lawyers in Canada*® (Private Funds Law)
- Recognized as a 2023 *Thomson Reuters* Stand-out Lawyer
- Recognized in the 2024 edition (and since 2018) of *The Canadian Legal Lexpert*® Directory (Investment Funds & Asset Management)
- Recognized in the 2023 edition of *Who's Who Legal: Canada* (Private Funds).

## Bar Admission & Education

- Ontario, 2002



- JD, University of Toronto, 2001
- M.Sc., University of Oxford, 1998
- B.Sc., Queen's University, 1997

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