



## Adam Ragusa

### Senior Associate

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Adam practices in the Securities and Capital Markets group, with a focus on investment management. Adam regularly advises dealers and fund managers on a wide variety of transactional and registrant regulation and compliance matters. His mergers and acquisitions experience includes large transactions involving different types of investment industry participants, such as CIRO dealer members and private REITs. He has also assisted with the launch and ongoing operation of new dealers and investment funds.

During his time at BLG, he seconded to the Ontario Securities Commission Office of Mergers & Acquisitions Office, and to The Bank of Nova Scotia's legal department.

## Insights & Events

- Author, "Regulators delegate, CIRO regulates", BLG Article, April 2025
- Author, "Avoiding compliance déjà vu: CIRO's compliance report for 2025", BLG Article, February 2025
- Author, "CIRO investment dealer proficiency requirements: What's new and what's next?", BLG Article, September 2024
- Author, "The CSA's changes to mandatory registrant regulatory filings are now effective", BLG Article, June 2022
- Author, "Lightening the load: CSA proposes semi-annual financial reporting for venture issuers", BLG Article, May 2021

- Speaker, “Deconstructing the Private M&A Deal”, University of Windsor Faculty of Law M&A Seminar, January 2020

## Beyond Our Walls

### Professional Involvement

- Member, Law Society of Ontario
- Member, Canadian Bar Association
- Member, Ontario Bar Association

### Community Involvement

- Caseworker, Downtown Legal Services, 2016 - 2018

## Bar Admission & Education

- Ontario, 2020
- JD, University of Toronto, 2019
- MBA, University of Toronto, 2019
- BComm, Ryerson University, 2014

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