



## Julie Mansi

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Julie's dual practice focuses on securities registrant regulation and compliance and mergers and acquisitions.

She has considerable experience in advising derivatives and securities market participants on Canadian registration requirements and compliance, as well as risk-management matters. As a trusted adviser, she has an active private M&A practice that caters specifically to securities registrants, marketplace participants, fintech and financial institutions. Her clients include:

- dealers, futures commission merchants, commodity trading advisers and foreign exchange dealers
- trading platforms, alternative trading systems and marketplace participants and related technology service providers
- digital asset (tokenized securities, NFTs and crypto currency) creators, developers and distributors
- money services businesses (for both fiat and virtual currency)
- commodity producers and physical metal brokers
- domestic and foreign asset managers

She also provides regulatory advice on topics such as:

- emerging financial services products that are web and app-based, including crowdfunding platforms, lending and investment platforms, cryptocurrencies, digital assets, blockchain and distributed ledger technology (DLT) and robo-advisers
- high frequency and algorithmic trading
- proprietary trading activities
- the development and distribution of novel over-the-counter derivative products including crypto derivatives, contracts for difference (CFDs) and foreign exchange (FX) to investors in Canada
- provision of agricultural risk management products to Canadian commodity producers
- anti-money laundering and terrorist financing
- corporate reorganizations, acquisitions, mergers and divestitures for securities registrants, market participants and financial institutions

Julie is a member of the IIROC Crypto Asset Working Group, IIAC Derivatives and Order Execution Only Committees and recently completed her three year term on the Ontario Securities Commission's Securities Advisory Committee. During her time at the firm, she was seconded to the Ontario Securities Commission, Registrant Legal Services Division and Mergers & Acquisitions, Take-Over Bids Division. Julie is also a leading member of BLG's Digital Asset and Blockchain Group and BLG Derivatives Group which has been recognized as Global Capital's Canadian Law Firm of the Year for derivatives, an award it has received every year since 2014. She has also worked in the Canadian Embassy in Warsaw, Poland, and was a market research analyst for several Canadian companies in Eastern Europe.

## Insights & Events

- Author, "Interactive Brokers Canada is the first CIRO dealer to offer forecast contracts by ForecastEx LLC", BLG Article, April 2025
- Author, "Avoiding compliance déjà vu: CIRO's compliance report for 2025", BLG Article, February 2025
- Author, "Everything you need to know about the Canadian Investment Regulatory Organization (CIRO): a dynamic resource", BLG Article, February 2025
- Author, "Best practices from the Ontario Securities Commission: Crypto asset trading platforms", BLG Article, January 2025
- Author, "USDC is first VRCA (stablecoin) to meet new CSA requirements", BLG Article, December 2024
- Author, "CIRO investment dealer proficiency requirements: What's new and what's next?", BLG Article, September 2024
- Author, "It's time: CIRO tackles incorporated advisors", BLG Article, February 2024
- Author, "M&A trends for Canadian asset managers: BLG's observations and insights looking ahead to 2024", BLG Article, December 2023
- Author, "Crypto asset trading platforms – Terms and conditions for trading VRCA's (stablecoins)", BLG Article, October 2023
- Author, "CSA publishes guidance on Public Crypto Funds – What fund managers need to know", BLG Article, August 2023
- Author, "Navigating the regulatory minefield: A guide for digital asset businesses in Canada", BLG Article, February 2023
- Author, "CSA allows Fidelity Digital Asset Services to act as liquidity provider to Fidelity Clearing Canada", BLG Article, January 2023
- Pearls of wisdom: Investment management regulatory trends and preparing for the new SRO, BLG Video, January 2023

- Author, "Crypto alternative trading system: Notice of operations and request for comment", BLG Article, June 2022
- Author, "Registered and unregistered crypto asset trading platforms must comply with advertising and marketing rules", BLG Article, October 2021
- Author, "Countdown to April 19: crypto trading platforms need to comply", BLG Article, April 2021
- Speaker, "[Digital Asset Market in Canada](#)," *AIMA Digital Assets Working Group*
- Author, "CSA issues key guidance on cryptocurrency related platforms", BLG Article, February 2020
- Author, "Surging to 2021: BLG's 2020 Vision of the Client-Focused Reforms", BLG Article, January 2020
- Author, "Crypto Traders Need to Register — OSC Takes Enforcement Action", BLG Article, August 2019
- Author, "Monthly Reporting Requirements Still in Effect for Securities Registrants and Exempt Firms under Amended STCS Legislation", BLG Article, June 2019
- "Derivatives and Crypto-Assets", InfoNex OTC Derivatives 2019 Conference, May 2019.
- "Regulatory Change and Business Opportunities", IIAC 2019 FinTech Conference, May 2019.
- Author, "Canada & U.S.: Progress on cryptocurrency securities regulation", BLG Article, March 2019
- Co-author, "Canada & U.S.: Progress on Cryptocurrency Securities Regulation," BLG Cryptocurrency & Blockchain Bulletin, March 2019

## Beyond Our Walls

### Professional Involvement

- Member, Canadian Bar Association
- Member, Ontario Bar Association
- Member, Derivatives Committee, Investment Industry Association of Canada
- Steering Committee, Women In Listed Derivatives (WILD), Canada Chapter
- Securities Advisory Committee, Ontario Securities Commission

## Awards & Recognitions

- Recognized in the 2025 edition of *The Best Lawyers in Canada*<sup>™</sup> (Mutual Funds Law)
- Recognized in the 2024 edition (and since 2018) *The Canadian Legal Lexpert*<sup>®</sup> *Directory* (Investment Funds & Asset Management - Investment Funds).
- Recognized as a 2023 *Thomson Reuters* Stand-out Lawyer.
- Recognized in the 2022 edition of *IFLR1000* (Financial Services regulatory).
- Recognized as a "Corporate Lawyer to Watch" in the 2015 edition of *The Lexpert*<sup>®</sup> *Guide to the Leading US/Canada Cross-Border Corporate Lawyers in Canada*.

## Bar Admission & Education

- Ontario, 2002
- LLB/MBA, Dalhousie University, 2002
- MA, with a concurrent Diploma in Strategic Studies, York University, 1997
- BA, Queen's University, 1996



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